

Information about Know Your Customer (KYC) and how to check the KYC status:

SEBI circular No. SEBI/HO/MIRSD/FATF/P/CIR/2023/0144 dated August 11, 2023, regarding the “Simplification of KYC Process and Rationalization of the Risk Management Framework at KYC (Know Your Client) Registration Agencies (KRAs)”

As per Clause 8 of the aforementioned SEBI circular:

“Clients whose attributes of certain KYC records, as mentioned, are not verified by the KRAs shall not be allowed to transact further in the securities market until the attributes are verified.”

KRAs have made available details regarding non-validated PANs. Furthermore, existing clients whose KYC records are not validated by KRAs after the validation process are periodically frozen by Depository’s viz., Suspended for debit and credit with the freeze reason code “08 - Account Holder related – KYC non-compliant” and remarks “KYC record not found valid by KRA” based on the updated data provided by KRAs.

Due to a lack of clear information and guidance to clients, clients with ‘KYC non-compliant PANs’ are unable to complete the KRA validation process, resulting in KRA non-validated clients.

For necessary guidance please refer the following process:

What is Know Your Customer (KYC), and how can the KYC status be checked?

Know Your Customer (KYC) is a process to determine the identity of a customer and details such as income, profession etc. A KYC is mandatory as per SEBI guidelines (https://www.sebi.gov.in/legal/circulars/apr-2022/guidelines-in-pursuance-of-amendment-to-sebi-kyc-registration-agency-kra-regulations-2011_57676.html) to open a demat account.

KYC will be verified during the account opening process by Orbis.

To check the KYC status, follow these steps:

1. Visit cvlkra.com.
2. Click on *KYC inquiry*.
3. Enter *PAN* , input the *captcha* , and click *Submit* to fetch the status.

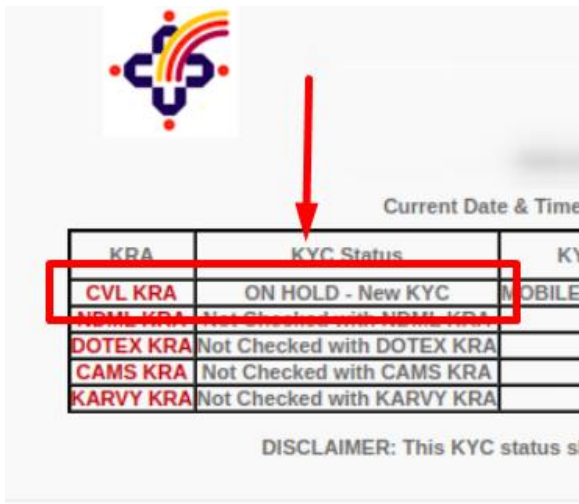
To identify the KYC Registration Agency (KRA) with which your KYC is registered, check the *KRA* and *KYC Status* column.

Orbis Financial Corporation Limited (CIN: U67120HR2005PLC036952)

Registered Office: 4A Ocus Technopolis, Sector 54, Golf Club Road, Gurgaon 122 002, Haryana, India T91 124 454 6565 F91 124 454 6500

Mumbai Office: Vaibhav Chambers, 07th Floor- Bandra-Kurla Complex, Bandra (East), Mumbai – 400051, India, T91 22 4077 6565 F91 22 4077 6500

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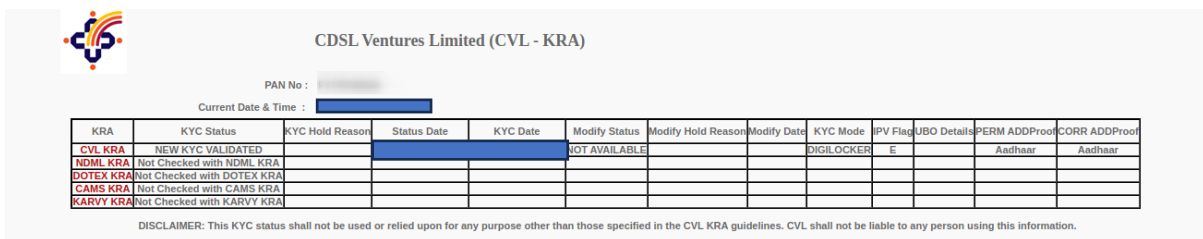


KRA	KYC Status	KYC Mode
CVL KRA	ON HOLD - New KYC	MOBILE
NDML KRA	Not Checked with NDML KRA	
DOTEX KRA	Not Checked with DOTEX KRA	
CAMS KRA	Not Checked with CAMS KRA	
KARVY KRA	Not Checked with KARVY KRA	

DISCLAIMER: This KYC status s

What does *KYC status* mean?

Validated/Registered: KYC is registered successfully. The Demat account will be unblocked within 72 hours of registering the KYC.



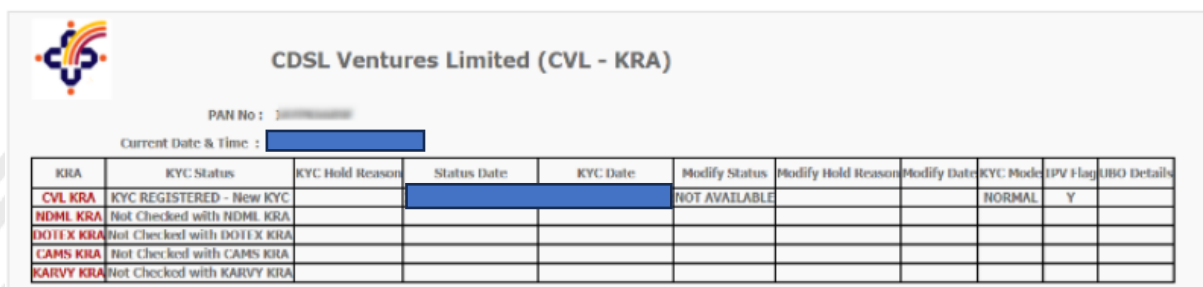
CDSL Ventures Limited (CVL - KRA)

PAN No : [REDACTED]

Current Date & Time : [REDACTED]

KRA	KYC Status	KYC Hold Reason	Status Date	KYC Date	Modify Status	Modify Hold Reason	Modify Date	KYC Mode	IPV Flag	UBO Details	PERM ADDProof	CORR ADDProof
CVL KRA	NEW KYC VALIDATED				NOT AVAILABLE			DIGLOCKER	E		Aadhaar	Aadhaar
NDML KRA	Not Checked with NDML KRA											
DOTEX KRA	Not Checked with DOTEX KRA											
CAMS KRA	Not Checked with CAMS KRA											
KARVY KRA	Not Checked with KARVY KRA											

DISCLAIMER: This KYC status shall not be used or relied upon for any purpose other than those specified in the CVL KRA guidelines. CVL shall not be liable to any person using this information.



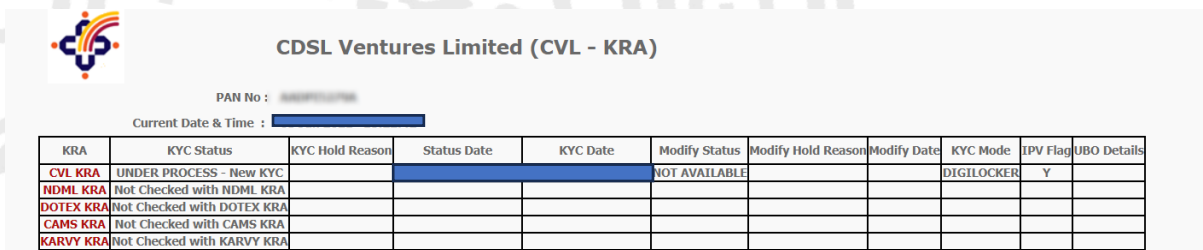
CDSL Ventures Limited (CVL - KRA)

PAN No : [REDACTED]

Current Date & Time : [REDACTED]

KRA	KYC Status	KYC Hold Reason	Status Date	KYC Date	Modify Status	Modify Hold Reason	Modify Date	KYC Mode	IPV Flag	UBO Details
CVL KRA	KYC REGISTERED - New KYC				NOT AVAILABLE			NORMAL	Y	
NDML KRA	Not Checked with NDML KRA									
DOTEX KRA	Not Checked with DOTEX KRA									
CAMS KRA	Not Checked with CAMS KRA									
KARVY KRA	Not Checked with KARVY KRA									

- **Under process:** This status shows up if the KYC is recently updated and under processing at KRAs. It takes up to 5 days for the KYC status to be updated.



CDSL Ventures Limited (CVL - KRA)

PAN No : [REDACTED]

Current Date & Time : [REDACTED]

KRA	KYC Status	KYC Hold Reason	Status Date	KYC Date	Modify Status	Modify Hold Reason	Modify Date	KYC Mode	IPV Flag	UBO Details
CVL KRA	UNDER PROCESS - New KYC				NOT AVAILABLE			DIGLOCKER	Y	
NDML KRA	Not Checked with NDML KRA									
DOTEX KRA	Not Checked with DOTEX KRA									
CAMS KRA	Not Checked with CAMS KRA									
KARVY KRA	Not Checked with KARVY KRA									

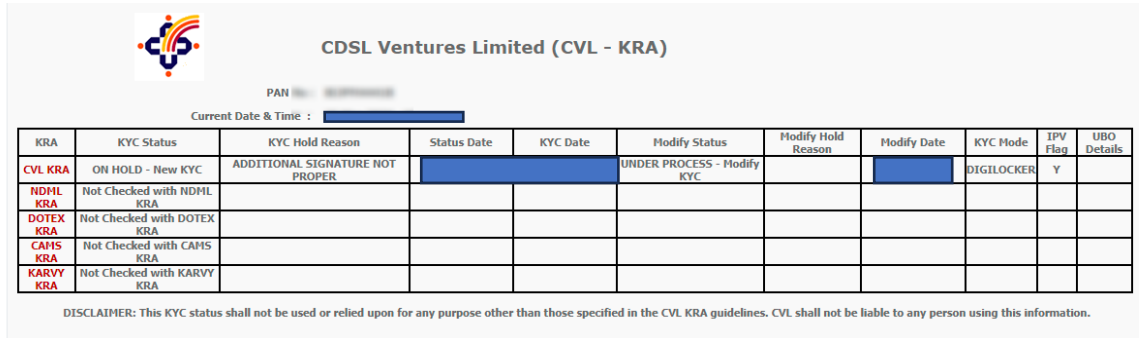
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- On-hold or rejected: This status shows up if the details were not updated with the correct information and documents by the previous financial intermediary. Orbis will send the required documents to the KRA to get the KYC status rectified. If additional documents are required, an email with the details about the documents required will be sent. It can take up to 5 working days for the status to be updated.



CDSL Ventures Limited (CVL - KRA)

PAN : ██████████

Current Date & Time : ██████████

KRA	KYC Status	KYC Hold Reason	Status Date	KYC Date	Modify Status	Modify Hold Reason	Modify Date	KYC Mode	IPV Flag	UBO Details
CVL KRA	ON HOLD - New KYC	ADDITIONAL SIGNATURE NOT PROPER	██████████		UNDER PROCESS - Modify KYC		██████████	DIGILOCKER	Y	
NDML KRA	Not Checked with NDML KRA									
DOTEX KRA	Not Checked with DOTEX KRA									
CAMS KRA	Not Checked with CAMS KRA									
KARVY KRA	Not Checked with KARVY KRA									

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If the account was inactive for a year, the KYC details will have to be updated.