

## Annexure - B

### Data of complaints against Registrar and Share Transfer Agents (RTAs) to be displayed on their websites-

Format for disclosing data of complaints on their website:

#### Data for the month ending – April 2024

| SN | Received from                 | Carried forward from previous month | Received during the month | Total Pending# | Resolved* | Pending at the end of the month** |                                | Average Resolution time^ (in days) |
|----|-------------------------------|-------------------------------------|---------------------------|----------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
|    |                               |                                     |                           |                |           | Pending for less than 3 months    | Pending for more than 3 months |                                    |
| 1  | 2                             | 3                                   | 4                         | 5              | 6         | 7                                 |                                | 8                                  |
| 1  | Directly from Investors       | 0                                   | 0                         | 0              | 0         | 0                                 |                                | 0                                  |
| 2  | SEBI (SCORES)                 | 0                                   | 0                         | 0              | 0         | 0                                 |                                | 0                                  |
| 3  | Stock Exchanges (if relevant) | 0                                   | 0                         | 0              | 0         | 0                                 |                                | 0                                  |
| 4  | Other Sources (if any)        | 0                                   | 0                         | 0              | 0         | 0                                 |                                | 0                                  |
| 5  | <b>Grand Total</b>            | 0                                   | 0                         | 0              | 0         | 0                                 |                                | 0                                  |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

