

## Annexure - B

### Data of complaints against Registrar and Share Transfer Agents (RTAs) to be displayed on their websites-

Format for disclosing data of complaints on their website:

#### Data for the month ending – December 2022

SN	Received from	Carried forward from previous month	Received during the month	Total Pending#	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	0	0	0	0	0		0
3	Stock Exchanges (if relevant)	0	0	0	0	0		0
4	Other Sources (if any)	0	0	0	0	0		0
5	<b>Grand Total</b>	0	0	0	0	0		0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Month – wise data for the current financial year\***

<b>SN</b>	<b>Month</b>	<b>Carried forward from month</b>	<b>Received</b>	<b>Resolved</b>	<b>Pending</b>
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>
1	April, 2022	0	0	0	0
2	May, 2022	0	0	0	0
3	June, 2022	0	0	0	0
4	July, 2022	0	0	0	0
5	August, 2022	0	0	0	0
6	September, 2022	0	0	0	0
7	October, 2022	0	0	0	0
8	November, 2022	0	0	0	0
9	December, 2022	0	0	0	0
10	January, 2023				
11	February, 2023				
12	March, 2023				
	<b>Grand Total</b>	0	0	0	0

**Trend of annual (Calendar Year) disposal of complaints (for 5 years on rolling basis) \***

<b>SN</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received</b>	<b>Resolved</b>	<b>Pending</b>
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

\*The data shall be emailed to [rta@sebi.gov.in](mailto:rta@sebi.gov.in)